

10/23/00 15943 U.S. PTO

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Timothy Roy Block and Kiswanto Thayib

Atty. Docket: IBM / 167  
Client Ref.: ROC9-2000-0192-US1

Title: DYNAMIC MODIFICATION OF CLUSTER COMMUNICATION PARAMETERS IN CLUSTERED COMPUTER SYSTEM

**CERTIFICATE OF MAILING BY EXPRESS MAIL - 37 CFR 1.10**

'Express Mail' mailing label number: EL576791237US  
Date of Deposit: October 23, 2000

I certify that this paper or fee (along with the enclosures noted herein) is being deposited with the United States Postal Service 'Express Mail Post Office to Addressee' service under 37 CFR 1.10 on the above date and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Ryan Cummins*  
By: Ryan Cummins (person mailing paper)

PTO  
95769/60  
10/23/00

**UTILITY PATENT APPLICATION TRANSMITTAL**

*BOX PATENT APPLICATION*  
*Assistant Commissioner for Patents*  
*Washington, D.C. 20231*

This is a request for filing, under 37 CFR § 1.53(b), a(n):

- ☒ **Original (non-provisional) application.**
- ☐ Divisional of prior application Serial No. \_\_, filed on \_\_.
- ☐ Continuation of prior application Serial No. \_\_, filed on \_\_.
- ☐ Continuation-in-part of prior application Serial No. \_\_, filed on \_\_.

**PRELIMINARY AMENDMENT/CALCULATION OF FEES**

- ☐ Please cancel claims \_\_ without prejudice, and prior to calculating the fees. \_\_ total claim(s), of which \_\_ is(are) independent, is(are) pending after the amendment.
- ☐ Please enter the enclosed preliminary amendment identified below prior to calculating the fees. \_\_ total claim(s), of which \_\_ is(are) independent, is(are) pending after the amendment.

☒ **The Fees are Calculated as Follows:**

Fee:	Number of Claims:	In Excess of:	Extra:	At Rate:	Amount:
Total Claims	31	20	11	\$18	\$198.00
Independent Claims	7	3	4	\$80	\$320.00
MULTIPLE DEPENDENT CLAIM FEE					
BASIC FEE					\$710.00
TOTAL OF ABOVE CALCULATIONS					\$1,228.00
REDUCTION BY 50% FOR FILING BY SMALL ENTITY					
TOTAL					\$1,228.00

**ENCLOSURES**

- ☒ Utility Patent Application Transmittal Form (in duplicate) containing Certificate of Mailing By Express Mail Under 37 CFR 1.10.
- ☒ Return Postcard.

APPLICATION PAPERS

- ☒ Utility Patent Application, with: cover sheet, 31 page(s) specification (including 31 total claim(s), of which 7 is(are) independent), and 1 page(s) abstract.
- ☒ Drawings: 5 sheet(s) of formal drawings (12 total figure(s)).
- ☐ Microfiche Computer Program (Appendix).
- ☐ Nucleotide and/or Amino Acid Sequence, including (all are necessary): Computer Readable Copy, Paper Copy (identical to computer copy), and Statement verifying identity of copies.
- ☒ An Executed Declaration, Power of Attorney and Petition Form.
- ☐ Copy of Executed Declaration, Power of Attorney and Petition Form from prior application identified above.
- ☐ Certified Copy of priority document(s) identified as attached above.

ADDITIONAL PAPERS

- ☒ Assignment to INTERNATIONAL BUSINESS MACHINES CORPORATION, Recordation Cover Sheet (Form PTO-1595)
- ☐ Verified Statement to Establish Small Entity Status under 37 CFR 1.9 and 1.27.
- ☐ Preliminary Amendment (to be entered prior to calculation of fees)
- ☐ Information Disclosure Statement,    sheet(s) Form PTO-1449,    U.S. Patent Reference(s),    Foreign Patent Reference(s) and    Other Reference(s)
- ☐ Other:

CHECKS

- ☒ A Check of \$1,228.00 for the filing fee.
- ☒ A Check of \$40.00 for the assignment recording fee.

**DEPOSIT ACCOUNT AUTHORIZATION**

- ☐ Please charge Deposit Account No. 23-3000 in the amount of   .
- ☒ The Commissioner is authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the entire pendency of the application, or credit any overpayment, to Deposit Account No. 23-3000. A duplicate of this transmittal is attached.
- ☐ THE PAYMENT OF FEES IS BEING DEFERRED.

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SAS/jlv

Respectfully Submitted,



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